SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

OMB Number: 3235-0104 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

RALES STEVEN M	I Requiring Statement		3. Issuer Name and Ticker or Trading Symbol Colfax CORP [CFX]				
(Last) (First) (Middle) 2200 PENNSYLVANIA AVENUE, NW, SUITE 800W			4. Relationship of Reporting Perso Check all applicable) Director X Officer (give title below)	n(s) to Issuer 10% Owner Other (speci below)	(Mor	hth/Day/Year) dividual or Joint icable Line)	ate of Original Filed /Group Filing (Check y One Reporting Person
(Street) WASHINGTON DC 20037						Form filed b Reporting P	y More than One erson
(City) (State) (Zip)							
Table I - Non-Derivative Securities Beneficially Owned							
1. Title of Security (Instr. 4)			eneficially Owned (Instr. 4)	3. Ownership Form: Direct or Indirect (I) (Instr. 5)	(D) (Instr.		Beneficial Ownership
Common Stock, par value \$.001			1,296,371	D			
Common Stock, par value \$.001			9,239,753	I Through Grantor Retained Annu Trusts		Retained Annuity	
Common Stock, par value \$.001			19,388	Ι	By C	By Capital Yield Corporation ⁽¹⁾	
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)							
1. Title of Derivative Security (Instr. 4) 2. Date Exercisable a Expiration Date (Month/Day/Year)		ate	3. Title and Amount of Securit Underlying Derivative Securit	y (Instr. 4)	4. Conversion or Exercise Price of	ise Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount i	Price of Derivative Security	or Indirect (I) (Instr. 5)	

Explanation of Responses:

1. These shares are held by an entity of which Mitchell P. Rales and Steven M. Rales are the sole stockholders. The reporting person disclaims beneficial ownership of these securities to the extent that they are beneficially owned by Mitchell P. Rales.

Remarks:

/s/ A. Lynne Puckett, Attorneyin-Fact 01/22/2019

uci

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.