SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB Number:	3235-0287				
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1. Name and Addre	1 0		2. Issuer Name and Ticker or Trading Symbol <u>Colfax CORP</u> [CFX]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
ALLENDER PATRICK W				X Director 10% Owner				
(Last) (First) (Middle) 2099 PENNSYLVANIA AVE. NW		()	3. Date of Earliest Transaction (Month/Day/Year) 05/12/2021	Officer (give title Other (specify below) below)				
12TH FLOOR			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable				
(Street) WASHINGTO	N DC	20006		Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State)	(Zip)						

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Tuble 1	ton Derivative	0000111100710	94	а, р.		., 0. 2	ononona	ing officia		
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)				Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common stock, par value \$.001	05/12/2021		A		3,294	A	\$0.00	70,078	D	
Common stock, par value \$.001								20,000	Ι	By Trust
Common stock, par value \$.001								23,648(1)	I	By JWA Irrevocable Trust #1
Common stock, par value \$.001								27,504(1)	I	By JWA GRAT #4
Common stock, par value \$.001								57 , 978 ⁽¹⁾	I	By JWA GRAT #5
Common stock, par value \$.001								90,129 ⁽¹⁾	I	By JWA Irrevocable Trust #2

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 6. Date Exercisable and 7. Title and 9. Number of 11. Nature 1. Title of 3. Transaction 3A. Deemed 5. Number 8. Price of 10. Expiration Date (Month/Day/Year) Derivative Conversion Date **Execution Date** Transaction Amount of Derivative derivative Ownership of Indirect of or Exercise (Month/Day/Year) Code (Instr. Derivative Securities Securities Form: Beneficial Security if any Security (Month/Day/Year) Direct (D) (Instr. 3) Price of Derivative 8) Securities Underlying Derivative (Instr. 5) Beneficially Ownership Owned Following or Indirect (I) (Instr. 4) Acquired (Instr. 4) Security (Instr. 3 and 4) Security (A) or Reported Transaction(s) Disposed of (D) (Instr. 3, 4 (Instr. 4) and 5) Amount or Number

Explanation of Responses:

1. The reporting person disclaims beneficial ownership of these securities, except to the extent of his pecuniary interest therein, and this report shall not be deemed an admission that the reporting person is the beneficial owner of the securities for the purposes of Section 16 or for any other purpose.

Date

Exercisable

Expiration Date

Title

in-Fact

Shares

Remarks:

/s/ Bradley Tandy, Attorney-

05/14/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code V

(A) (D)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.