SEC Form 4

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287
Estimated average burg	len
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3	Check this box if no longer subject to Section 16. Form 4 or Form 5 bbligations may continue. See nstruction 1(b).
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FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

			of Section So(n) of the Investment Company Act of 1340									
1. Name and Addres	1 0	rson [*]	2. Issuer Name and Ticker or Trading Symbol Colfax CORP [CFX]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)								
Trerotola Mat	<u>inew L.</u>		(]	X	Director	10% Owner						
(Last)	ast) (First) (Middle)		3. Date of Earliest Transaction (Month/Day/Year)	x	Officer (give title below)	Other (specify below)						
2711 CENTERVILLE ROAD			08/16/2021		President & CEO							
SUITE 400												
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)								
(Street)					Form filed by One Bon	orting Dorcon						
WILMINGTON	DE	19808			Form filed by One Reporting Person							
					Form filed by More tha Person	n One Reporting						
(City)	(State)	(Zip)										
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common stock, par value \$.001	08/16/2021		М		150,000	A	\$23.74	455,708	D	
Common stock, par value \$.001	08/16/2021		М		1,446	A	\$39.54	457,154	D	
Common stock, par value \$.001	08/16/2021		S ⁽¹⁾		70,706 ⁽¹⁾	D	\$48.98(2)	386,448	D	
Common stock, par value \$.001	08/16/2021		S ⁽¹⁾		69,710 ⁽¹⁾	D	\$48.972(3)	316,738	D	
Common stock, par value \$.001	08/16/2021		S ⁽¹⁾		5,290 ⁽¹⁾	D	\$49.487(4)	311,448	D	
Common stock, par value \$.001	08/16/2021		S ⁽¹⁾		4,294 ⁽¹⁾	D	\$49.495(5)	307,154	D	
Common stock, par value \$.001	08/16/2021		S ⁽¹⁾		1,446 ⁽¹⁾	D	\$49.508(6)	305,708	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		Deri Sec Acq or D of (I	Aumber of rivative curities quired (A) Disposed D) (Instr. 4 and 5)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Employee Stock Option (right to buy)	\$23.74	08/16/2021		М			150,000	(7)	01/03/2023	Common stock, par value \$.001	150,000	\$0.00	59,563	D	
Employee Stock Option (right to buy)	\$39.54	08/16/2021		М			1,446	(8)	07/23/2022	Common stock, par value \$.001	1,446	\$0.00	537,154	D	

Explanation of Responses:

1. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan previously adopted by the reporting person.

2. The price reported in this row of Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$48,46 to \$49.46, inclusive. The reporting person undertakes to provide to Colfax Corporation, any security holder of Colfax Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in footnote (2) to this Form 4.

3. The price reported in this row of Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$48.45 to \$49.44, inclusive. The reporting person undertakes to provide to Colfax Corporation, any security holder of Colfax Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in footnote (3) to this Form 4.

4. The price reported in this row of Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$49.45 to \$49.56, inclusive. The reporting person undertakes to provide to Colfax Corporation, any security holder of Colfax Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in footnote (4) to this Form 4.

5. The price reported in this row of Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$49.46 to \$49.56, inclusive. The reporting person undertakes to provide to Colfax Corporation, any security holder of Colfax Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in footnote (5) to this Form 4.

6. The price reported in this row of Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$49.50 to \$49.53, inclusive. The reporting person undertakes to provide to Colfax Corporation, any security holder of Colfax Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in footnote (6) to this Form 4.

7. The option vests in equal installments on the third, fourth and fifth anniversaries of the grant date, which was 1/4/2016.

8. The option vests in equal installments on the third, fourth and fifth anniversaries of the grant date, which was 7/24/2015.

Remarks:

<u>/s/ Bradley Tandy, Attorney-in-</u> <u>Fact</u> 08/18/2021 ** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. * If the form is filed by more than one reporting person, see Instruction 4 (b)(v). ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.